

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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ANTON COLBERT, Individually and on Behalf  
of All Others Similarly Situated,

Plaintiff,

- v -

RIO TINTO PLC, RIO TINTO LIMITED,  
THOMAS ALBANESE and GUY ROBERT  
ELLIOTT,

Defendants.  
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:  
:  
: 17 Civ. 8169 (AT) [rel. 17 Civ. 7994 (AT)]  
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: **RULE 7.1 STATEMENT OF**  
: **RIO TINTO PLC**  
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Pursuant to Federal Rule of Civil Procedure 7.1 and to enable Judges and Magistrate  
Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for  
Defendant Rio Tinto plc certifies that Rio Tinto plc, which uses a dual-listed-company structure  
with Rio Tinto Limited, does not have a parent corporation and that Shining Prospect Pte. Ltd, a  
subsidiary of Aluminum Corporation of China Limited, owns more than 10% of its stock.

Dated: New York, New York  
February 9, 2018

Respectfully submitted,

GIBSON, DUNN, & CRUTCHER LLP

By: /s/ Mark A. Kirsch  
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Tinto Limited*